

# Sergio de Holanda Rocha

<b>Contact Information</b>	Department of Banking and Finance Level 3, Building H 900 Dandenong Road Caulfield East, VIC 3145	Phone: (+61) 03 9903 2837 Email: <a href="mailto:sergio.hr@monash.edu">sergio.hr@monash.edu</a> Website: <a href="http://shrocha.com">shrocha.com</a>
<b>Academic Appointments</b>	<b>Monash University</b> Lecturer (Assistant Professor) of Banking and Finance	2022 -
<b>Education</b>	<b>University of Illinois Urbana-Champaign</b> Ph.D. in Economics	2022
	<b>Universidade Federal de Pernambuco</b> M.Sc. in Economics B.Sc. in Economics	2014 2011
<b>Research Areas</b>	Corporate Finance, Financial Constraints, Firm Networks, Applied Microeconomic Theory	
<b>Publications</b>	<a href="#">Short Selling and Product Market Competition</a> (with R. Matta and P. Vaz) <b>Journal of Banking and Finance, 2025, 171: 107335</b>	
<b>Working Papers</b>	<a href="#">The Downstream Channel of Financial Constraints and the Amplification of Aggregate Downturns</a> (with G. Cortes) <b>Runner-up, Best Paper in Banking/Corporate Finance, FMCG 2023</b> <b>Semi-Finalist, Best Paper in Corporate Finance, FMA 2021</b>  <a href="#">Predation by Stock Price Manipulation</a> (with R. Matta and P. Vaz) <b>Revise &amp; Resubmit, Journal of Corporate Finance</b>  <a href="#">Economic Integration and Diffusion of Regulatory Standards: Evidence from Trade Networks</a> (with P. Thakur) <b>Revise &amp; Resubmit, Canadian Journal of Economics</b>  The Product Market Consequences of Corporate Bankruptcy: New Evidence from 300 Million Retail Transactions (with M. Campello and G. Cortes)	
<b>Work in Progress</b>	Matching Regulations Within and Across Commodities: Evidence from Technical Barriers to Trade (with P. Thakur)	
<b>Teaching Experience</b>	<b>Monash University</b> <i>Chief Examiner—International Banking (Graduate, Master of Banking and Finance)</i> In-person: S2 2024 (92/100); S1 2024 (82/100); Online: S2 2023 (97/100); Online: S1 2023 (78/100)	

(Students' evaluations in parentheses)

Chief Examiner—*Research Skills (Honours and Master of Commerce)*  
In-person: S1 2024 (100/100); S1 2023 (100/100)

### University of Illinois Urbana-Champaign

Assistant Instructor—*Microeconomics (Graduate, M.Sc. Program in Policy Economics)*  
Online: Spring 2021 (4.9/5.0\*\*); Fall 2020 (4.2/5.0)  
In-Person: Fall 2019 (5.0/5.0\*\*); Fall 2018 (5.0/5.0\*\*); Fall 2017 (4.8/5.0\*\*)

Teaching Assistant—*Microeconomic Principles (Undergraduate)*  
In-Person: Spring 2017 (4.4/5.0\*)

Teaching Assistant—*Microeconomic Theory (Graduate, Ph.D. Program, Gies College of Business)*  
In-Person: Fall 2016 (4.9/5.0\*\*)

\* Awarded in the “List of Teachers Ranked as Excellent by their Students”  
\*\* Outstanding Rating (Top 10% university-wide Teaching Assistants)

Instructor—*Math Camp Review Course (Graduate, M.Sc. Program in Policy Economics)*  
Online: Fall 2020 (Not Evaluated)  
In-Person: Fall 2021; Fall 2019; Fall 2018; Fall 2017 (Not Evaluated)

### Universidade Federal de Pernambuco

Teaching Assistant—*Statistics, (Graduate, M.Sc. and Ph.D. Programs in Economics)*  
In-Person: S1 2015, S1 2014 (Not Evaluated)

Teaching Assistant—*Microeconomics, (Graduate, M.Sc. and Ph.D. Programs in Economics)*  
In-Person: S1 2013 (Not Evaluated)

<b>Honors, Awards, and Grants</b>	Lemann Fellowship, Lemann Center for Brazilian Studies	AY 2021–2022
	Paul W. Boltz Fellowship, University of Illinois	Summer 2020
	Department Travel Grant, University of Illinois	Fall 2019
	Robert E. Demarest Memorial Teaching Award, University of Illinois	Summer 2019
	Economics Department Graduate Fellowship, University of Illinois	AY 2015–2016
	CNPq Graduate Fellowship, Brazilian Federal Government	2012–2014
	CNPq Research Scholarship, Brazilian Federal Government	2009–2010

<b>Professional Experience</b>	Consultant Intern at <i>Consultoria Econômica e Planejamento (CEPLAN)</i>	2010–2012
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<b>Presentations</b>	2025: Finance Down Under <sup>§</sup> .
§: Scheduled	2024: Universidade Federal de Pernambuco, Lubrafin, Monash University, FIRN, Australasian Finance and Banking Conference <sup>§</sup> , Australasian Meeting of the Econometric Society.
	2023: Australasian Trade Workshop, Western Economic Association International Conference, IFMB, FMCG, Monash University, Sydney Banking and Financial Stability Conference.
	2022: FMA Asia/Pacific, Vietnam Symposium in Banking and Finance, Eastern Finance Association, AFA (poster session), University of Illinois (Graduate Seminar).
	2021: Australasian Finance and Banking Conference, FMA Annual Meeting, Latin American Meeting of the Econometric Society, Vietnam Symposium in Banking and Finance, Midwest Economic Association Annual Meeting, University of Illinois (Graduate Seminar), Monash University.
	2020 and earlier: North American Regional Science Association, University of Illinois (Graduate Seminar), Latin American Meeting of the Econometric Society, University of Illinois (Banking

& Financial Intermediation Seminar), Association for Public Economic Theory, International Workshop on Game Theory & Economic Applications of the Game Theory Society, Brazilian Association of Graduate Programs in Economics (Regional Meeting).

**Refereeing** *Canadian Journal of Economics, Brazilian Review of Finance, Quarterly Review of Economics and Finance*

**Scientific Committee** 1<sup>st</sup> Monash Winter Finance, 45<sup>th</sup> Brazilian Meeting of the Econometric Society

**Computer Skills** R, Stata, L<sup>A</sup>T<sub>E</sub>X, Microsoft Office

**Languages** English (Fluent), Portuguese (Native), Spanish (Basic)

**References**

**Heitor Almeida**

Golder Professor of Finance  
Gies College of Business  
University of Illinois Urbana-Champaign  
(217) 333-2704  
[halmeida@illinois.edu](mailto:halmeida@illinois.edu)

**Charles M. Kahn**

Bailey Professor of Finance (Emeritus)  
Gies College of Business  
University of Illinois Urbana-Champaign  
(217) 333-2813  
[cmkahn@illinois.edu](mailto:cmkahn@illinois.edu)

**Dan Bernhardt**

I.B.E. Professor of Economics and Finance  
Departments of Economics and Finance  
University of Illinois Urbana-Champaign  
(217) 244-5708  
[danber@illinois.edu](mailto:danber@illinois.edu)

**Stefan Krasa**

Professor of Economics  
Department of Economics  
University of Illinois Urbana-Champaign  
(217) 333-7698  
[skrasa@illinois.edu](mailto:skrasa@illinois.edu)